

# HAWAII STATE ETHICS COMMISSION

## DISCLOSURE OF FINANCIAL INTERESTS (LONG FORM)

|  |   |
|--|---|
| <b>NAME (Last, First, Middle)</b><br><br>Keller, Thomas Rickie | <b>STATE POSITION HELD: (Dept/Div or Board/Commission)</b><br>Administrative Director of the Courts<br><br><b>TERM OF OFFICE (Begin/End):</b><br>06/07/03 / n/a |
|--|---|

**FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.**  
 USE THE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

### ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source (the term "source" also includes any state or other government agencies) and amount of all income of \$1,000 or more received during the preceding calendar year, for services rendered, and the nature of the services rendered.

| F,SP,DC,JT | NAME AND ADDRESS OF SOURCE OF INCOME   | AMOUNT | SERVICES RENDERED       |
|------------|--|--------|-------------------------|
| F          | The Judiciary - State of Hawaii<br>417 South King Street<br>Honolulu, Hawaii 96813 | F      | Administrative Director |
| F          | Department of Defense  | D      | Army retirement         |
| F          | Veterans Administration  | B      | Disability Payment      |
| SP         | Department of the Army<br>Building 690<br>Schofield Barracks, Hawaii 96857         | E      | Executive Officer       |

☐ Check here if entry is None

☐ Check here if additional sheets are attached

### ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business.

| F,SP,DC,JT | BUSINESS NAME AND ADDRESS | NATURE OF BUSINESS | NATURE OF INTEREST | VALUE OR NO. OF SHARES |
|------------|---------------------------|--------------------|--------------------|------------------------|
|            | See attached              |                    |                    |                        |

☐ Check here if entry is None

☒ Check here if additional sheets are attached

**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

| F,SP,<br>DC,JT | OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE<br>PERIOD | DATE OF<br>TRANSFER |
|----------------|---|---------------------|
| JT             | Fidelity Advisor Growth Opportunity Class-T                                   | 06/09/05            |

☐ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 4: CREDITORS**

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

| F,SP,<br>DC,JT | NAME OF CREDITOR  | ORIGINAL AMOUNT<br>OWED | AMOUNT<br>OUTSTANDING |
|----------------|---|-------------------------|-----------------------|
| JT             | Central Pacific Bank<br>220 South King Street<br>Honolulu, Hawaii 96813 | H                       | H                     |

☐ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS**

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

| F,SP,<br>DC,JT | NAME AND ADDRESS OF BUSINESS | TITLE HELD                            | TERM OF OFFICE | ANNUAL<br>COMPENSATION |
|----------------|------------------------------|---------------------------------------|----------------|------------------------|
| F              | Honolulu Armed Services YMCA | Vice Chair,<br>Board of<br>Management | 2006-2009      | None                   |

☐ Check here if entry is None☐ Check here if additional sheets are attached

**ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

| F,SP,<br>DC,JT | STREET ADDRESS                                     | TAX MAP KEY NUMBER (IF TAX<br>MAP KEY NUMBER EXISTS) | VALUE |
|----------------|--|--|-------|
| JT             | 1600 Wilikina Drive, Wahiawa, HI 96786             | 7-3-011-004-0025-001                                 | E     |
| JT             | 10 Buttonbush Lane<br>Hilton Head Island, SC 29926 | 600-40-A-136   | F     |
| JT             | 441 E. Belville Rd.<br>Nye County, NV 89049        | 039-772-14   | C     |

☐ Check here if entry is None

☐ Check here if additional sheets are attached
**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

| F,SP,<br>DC,JT | STREET ADDRESS AND TAX MAP KEY NUMBER (IF<br>TAX MAP KEY NUMBER EXISTS) | AMOUNT & NATURE OF<br>CONSIDERATION PAID | NAME OF PERSON<br>RECEIVING THE<br>CONSIDERATION |
|----------------|---|--|--|
|                |   |  |  |

☒ Check here if entry is None

☐ Check here if additional sheets are attached
**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

| F,SP,<br>DC,JT | STREET ADDRESS AND TAX MAP KEY<br>NUMBER (IF TAX MAP KEY NUMBER EXISTS) | AMOUNT & NATURE OF<br>CONSIDERATION RECEIVED | NAME OF PERSON<br>FURNISHING THE<br>CONSIDERATION |
|----------------|---|--|---|
| JT             | 10 Buttonbush Lane<br>Hilton Head Island, SC 29926<br><br>600-40-A-136  | F, cash                                      | Premnath Narahari<br>and Renuka Narahari          |

☐ Check here if entry is None

☐ Check here if additional sheets are attached

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

**ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES**  
List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

**CERTIFICATION:** I hereby certify that the above is a true, correct, and complete statement to the best of my knowledge and belief. If I have a spouse and/or dependent children, I also hereby certify that I have included their interests on this form to the best of my knowledge and belief. I understand that it is a violation of State law, chapter 84, HRS, if information is not disclosed as required by chapter 84, HRS. I further understand that there are statutory penalties for noncompliance.

FORM D-201 Revised 11/05

## ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

| F,SP,<br>DC, JT | BUSINESS NAME AND ADDRESS   | NATURE OF<br>BUSINESS | NATURE OF<br>INTEREST | VALUE OR NO.<br>OF SHARES |
|-----------------|---|-----------------------|-----------------------|---------------------------|
|                 | American Funds<br>P.O. Box 25065<br>Santa Ana, CA 92799-5065  |                       |                       |                           |
| JT              | American Balanced Fund-A  | Mutual Fund           | Stock                 | D                         |
| JT              | Capital World Growth and Income Fund-A  | Mutual Fund           | Stock                 | D                         |
| JT              | Euro Pacific Growth Fund-A  | Mutual Fund           | Stock                 | D                         |
| JT              | The Income Fund of America-A  | Mutual Fund           | Stock                 | D                         |
| JT              | Dreman High Return Equity Fund<br>Scudder Investment Services Co.<br>P.O. Box 219557<br>Kansas City, MO 64121-9154  | Mutual Fund           | Stock                 | C                         |
| JT              | Ivy International Fund-A<br>Ivy Funds<br>P.O. Box 9770<br>Providence, RI 02940-5031                                 | Mutual Fund           | Stock                 | D                         |
| JT              | Columbia International Stock Fund-A<br>Columbia Management Services, Inc.<br>P.O. Box 8081<br>Boston, MA 02266-8081 | Mutual Fund           | Stock                 | E                         |
| JT              | MFS Emerging Growth Fund-A<br>MFS Service Center, Inc.<br>P.O. Box 2281<br>Boston, MA 02107-9906                    | Mutual Fund           | Stock                 | E                         |
| JT              | MFS Research Fund-A<br>(address same as above)  | Mutual Fund           | Stock                 | E                         |
| JT              | Fidelity Advisor New Insights Class T<br>Fidelity Investments<br>PO box 770002<br>Cincinnati, OH 45277-0083         | Mutual Fund           | Stock                 | D                         |
| JT              | Ameriprise Financial Services<br>1585 Kapiolani Blvd., Suite 1736<br>Honolulu, HI 96814-4523                        |                       |                       |                           |
|                 | Funds Include   |                       |                       |                           |
|                 | Eaton Float Rate-C  | Mutual Fund           | Stock                 | C                         |
|                 | Eaton High Inc.-C   | Mutual Fund           | Stock                 | B                         |
|                 | Eaton National Muni-C   | Mutual Fund           | Stock                 | C                         |
|                 | Oppenheimer AMT Free Muni   | Mutual Fund           | Stock                 | C                         |
|                 | Oppenheimer Developing Markets  | Mutual Fund           | Stock                 | C                         |
|                 | Oppenheimer International Small Co.   | Mutual Fund           | Stock                 | B                         |
|                 | Oppenheimer Ltd Intermediate Muni-C   | Mutual Fund           | Stock                 | C                         |
|                 | Oppenheimer Rochester National Muni-C   | Mutual Fund           | Stock                 | C                         |
|                 | Riverside Diversified Eq Inc-C  | Mutual Fund           | Stock                 | C                         |
|                 | Riverside Mid Cap Value-C   | Mutual Fund           | Stock                 | B                         |